SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	ss of Reporting Perso	'n*	2. Issuer Name and Ticker or Trading Symbol <u>STANDARD BIOTOOLS INC.</u> [LAB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O STANDAR 2 TOWER PLA	(First) D BIOTOOLS IN CE, STE 2000	(Middle) C.	3. Date of Earliest Transaction (Month/Day/Year) 02/21/2023	X	Officer (give title below) Chief Financia	Other (specify below) Il Officer			
(Street) SOUTH SAN FRANCISCO (City)	CA (State)	94080 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filir Form filed by One Rej Form filed by More the Person	porting Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	02/21/2023		М		50,000	A	\$ <mark>0</mark>	221,904	D		
Common Stock	02/21/2023		М		16,666	A	\$ <mark>0</mark>	238,570	D		
Common Stock	02/21/2023		М		2,238	Α	\$ <mark>0</mark>	240,808	D		
Common Stock	02/21/2023		М		2,391	Α	\$ <mark>0</mark>	243,199	D		
Common Stock	02/21/2023		М		3,130	Α	\$ <mark>0</mark>	246,329	D		
Common Stock	02/21/2023		F		28,707	D	\$2.03	217,622	D		
Common Stock								52,061	I	See Footnote ⁽¹⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(c.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		ransaction of ode (Instr. Derivative			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(2)	02/21/2023		М			50,000	(3)	(3)	Common Stock	50,000	\$ <u>0</u>	0	D	
Restricted Stock Units	(2)	02/21/2023		М			16,666	(4)	(4)	Common Stock	16,666	\$ <u>0</u>	183,334	D	
Restricted Stock Units	(2)	02/21/2023		М			2,238	(5)	(5)	Common Stock	2,238	\$0	0	D	
Restricted Stock Units	(2)	02/21/2023		М			2,391	(6)	(6)	Common Stock	2,391	\$0	9,563	D	
Restricted Stock Units	(2)	02/21/2023		А			3,130	(7)	(7)	Common Stock	3,130	\$0	28,176	D	

Explanation of Responses:

1. Shares held indirectly by the Vikram and Pratima Family Trust U/A dated June 23, 2009.

2. Each Restricted Stock Unit represents the contingent right to receive one share of LAB common stock.

3. The Restricted Stock Units vest in full on February 20, 2023 or the date the Reporting Person's employment with the Company is terminated involuntarily without "cause."

4. On December 20, 2022, the Reporting Person was granted 200,000 Restricted Stock Units vesting in quarterly installments over the course of three years commencing February 20, 2023.

5. On February 19, 2019, the Reporting Person was granted 35,787 Restricted Stock Units vesting as to 1/4th of the total number of shares on February 20, 2020, and as to 1/16th of the total number of shares

each quarter thereafter. 6. On March 10, 2020, the Reporting Person was granted 38,250 Restricted Stock Units vesting as to 1/4th of the underlying shares on February 20, 2021, and as to 1/16th of the total number of shares each

quarter thereafter.

7. On April 20, 2021, the Reporting Person was granted 50,089 Restricted Stock Units vesting as to 1/4 of the total number of shares on May 20, 2022, and as to 1/16th of the total number of shares each quarter thereafter.

Remarks:

<u>/s/ Vikram Jog</u>

02/23/2023 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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